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## COL Financial Group, Inc. COL

### PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares) *Reference: Section 17.12 of the Revised Disclosure Rules*

#### Type of Securities

Common

For the period ended Mar 31, 2021

#### Description of the Disclosure

In compliance with Section 17.12 of the Revised Disclosure Rules of the Exchange, we submit herewith COL Financial Group, Inc.'s List of Top 100 Stockholders for the quarter ended 31 March 2021.

Number of Issued and Outstanding Common Shares	4,760,000,000
Number of Treasury Common Shares, if any	-
Number of Outstanding Common Shares	4,760,000,000
Number of Listed Common Shares	4,760,000,000
Number of Lodged Common Shares	3,863,460,950
; ;PCD Nominee - Filipino	2,560,231,910
; ;PCD Nominee - Non-Filipino	1,303,229,040
Number of Certificated Common Shares	896,539,050

Change from previous submission

None

**Filed on behalf by:**

<b>Name</b>	Sharon Lim
<b>Designation</b>	Corporate Secretary/Compliance Officer

**COL FINANCIAL GROUP, INC.**

LIST OF TOP 100 STOCKHOLDERS

AS OF MARCH 31, 2021

<b>RANK</b>	<b>NAME</b>	<b>TOTAL SHARES</b>	<b>PERCENTAGE (%)</b>
1	PCD NOMINEE CORPORATION - FILIPINO	2,560,231,910	53.7864
2	PCD NOMINEE CORPORATION - NON-FILIPINO	1,303,229,040	27.3788
3	LEE, EDWARD K.	622,500,000	13.0777
4	YU, ALEXANDER C.	200,000,000	4.2017
5	ANG, VALENTINA L.	50,000,000	1.0504
6	LEE, LYDIA	10,000,000	0.2101
7	TAN, JESSALYNN L.	10,000,000	0.2101
8	LIM, HERNAN GO	1,000,000	0.0210
9	YU, RAYMOND C.	1,000,000	0.0210
10	HAN, PAULWELL	1,000,000	0.0210
11	ONG, CATHERINE L.	500,000	0.0105
12	BARRETTO, SERAFIN JR. P.	120,000	0.0025
13	ESTACION, MANUEL	100,000	0.0021
14	YU, WELLINGTON C. OR YU, VICTORIA O.	100,000	0.0021
15	VILLANUEVA, MYRA P.	60,000	0.0013
16	FILIO, SERNANDO	50,000	0.0011
17	GARA, ROSARIO	50,000	0.0011
18	KHOO BOO BOON	10,000	0.0002
19	HAPI ILOILO CORPORATION	10,000	0.0002
20	LITMAN, JOEL A.	10,000	0.0002
21	GUERZON, MARIA CARMEN	6,680	0.0001
22	VILLANUEVA, MILAGROS P.	5,000	0.0001
23	VILLANUEVA, MYRNA P.	5,000	0.0001
24	PAPIN, JOHN MARCT A.	3,000	0.0001
25	GUERZON, ANTONIO GABRIEL	1,660	0.0000
26	GUERZON, NICOLE THERESE	1,660	0.0000
27	ACOSTA, ROMMEL R.	1,000	0.0000
28	M.J. SORIANO TRADING, INC.	1,000	0.0000
29	GILI, GUILLERMO JR., F.	1,000	0.0000
30	SANVICTORES, JULIUS VICTOR EMMANUEL D.	1,000	0.0000
31	ENDAYA, GLENDA T.	1,000	0.0000
32	BATE, CONRADO F.	990	0.0000
33	AU, OWEN NATHANIEL S. ITF: LI MARCUS AU	50	0.0000
34	HOKUSHIN, KIDO	10	0.0000
		4,760,000,000	

### OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - COL000000000

Business Date: March 31, 2021

BPNAME	HOLDINGS
UPCC SECURITIES CORP.	180,000
ABACUS SECURITIES CORPORATION	1,125,950
PHILSTOCKS FINANCIAL INC	476,473
ALPHA SECURITIES CORP.	40,000
AP SECURITIES INCORPORATED	841,000
ANSALDO, GODINEZ & CO., INC.	612,000
AB CAPITAL SECURITIES, INC.	122,000
SB EQUITIES, INC.	122,000
ASIASEC EQUITIES, INC.	5,000
BELSON SECURITIES, INC.	30,000
JAKA SECURITIES CORP.	5,000
BPI SECURITIES CORPORATION	3,860,512
CAMPOS, LANUZA & COMPANY, INC.	7,000
CTS GLOBAL EQUITY GROUP, INC.	1,746,526,850
TRITON SECURITIES CORP.	55,000
DAVID GO SECURITIES CORP.	1,250,000
DIVERSIFIED SECURITIES, INC.	10,000
E. CHUA CHIACO SECURITIES, INC.	2,078,000
EASTERN SECURITIES DEVELOPMENT CORPORATION	1,095,000
EQUITIWORLD SECURITIES, INC.	17,000
EVERGREEN STOCK BROKERAGE & SEC., INC.	1,050,000
F. YAP SECURITIES, INC.	2,040,000
GLOBALINKS SECURITIES & STOCKS, INC.	300,000
JSG SECURITIES, INC.	506,000
GOLDSTAR SECURITIES, INC.	1,000,000
GUILD SECURITIES, INC.	2,000
HDI SECURITIES, INC.	100,000
I. B. GIMENEZ SECURITIES, INC.	30,000
STRATEGIC EQUITIES CORP.	1,150,000
LOPEZ, LOCSIN, LEDESMA & CO., INC.	170,000
LUYS SECURITIES COMPANY, INC.	147,000
MANDARIN SECURITIES CORPORATION	1,217,000
COL Financial Group, Inc.	1,271,661,115
MERIDIAN SECURITIES, INC.	38,000
MDR SECURITIES, INC.	30,000
MOUNT PEAK SECURITIES, INC.	7,000
NEW WORLD SECURITIES CO., INC.	180,000
OPTIMUM SECURITIES CORPORATION	1,291,000
PAPA SECURITIES CORPORATION	12,000
MAYBANK ATR KIM ENG SECURITIES, INC.	9,385,000
PNB SECURITIES, INC.	514,000
PREMIUM SECURITIES, INC.	200,000
SALISBURY BKT SECURITIES CORPORATION	3,000
QUALITY INVESTMENTS & SECURITIES CORPORATION	410,000
R & L INVESTMENTS, INC.	20,000
R. COYIUTO SECURITIES, INC.	105,000
REGINA CAPITAL DEVELOPMENT CORPORATION	257,000
R. NUBLA SECURITIES, INC.	198,000
AAA SOUTHEAST EQUITIES, INCORPORATED	56,000
R. S. LIM & CO., INC.	550,000
RTG & COMPANY, INC.	10,000
S.J. ROXAS & CO., INC.	7,000
SUMMIT SECURITIES, INC.	70,000
STANDARD SECURITIES CORPORATION	9,355,000
TANSENGCO & CO., INC.	109,000
TOWER SECURITIES, INC.	447,000
UCPB SECURITIES, INC.	84,000
UOB KAY HIAN SECURITIES (PHILS.), INC.	438,000
FIRST METRO SECURITIES BROKERAGE CORP.	717,500

<b>BPNAME</b>	<b>HOLDINGS</b>
WEALTH SECURITIES, INC.	16,426,010
YAO & ZIALCITA, INC.	550,000
YU & COMPANY, INC.	5,500,000
BDO SECURITIES CORPORATION	4,664,460
EAGLE EQUITIES, INC.	823,000
SOLAR SECURITIES, INC.	5,500,000
G.D. TAN & COMPANY, INC.	130,000
UNICAPITAL SECURITIES INC.	7,000
SunSecurities, Inc.	170,000
TIMSON SECURITIES, INC.	361,000
CITIBANK N.A.	2,361,000
DEUTSCHE BANK MANILA-CLIENTS A/C	13,220,080
STANDARD CHARTERED BANK	709,240,000
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	42,183,000
<b>TOTAL</b>	<b>3,863,460,950</b>

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## COL Financial Group, Inc. COL

### PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares) *Reference: Section 17.12 of the Revised Disclosure Rules*

#### Type of Securities

Common

For the period ended Jun 30, 2021

#### Description of the Disclosure

In compliance with Section 17.12 of the Revised Disclosure Rules of the Exchange, we submit herewith COL Financial Group, Inc.'s List of Top 100 Stockholders for the quarter ended 30 June 2021.

Number of Issued and Outstanding Common Shares	4,760,000,000
Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	4,760,000,000
Number of Listed Common Shares	4,760,000,000
Number of Lodged Common Shares	3,863,460,950
; ;PCD Nominee - Filipino	2,562,682,910
; ;PCD Nominee - Non-Filipino	1,300,778,040
Number of Certificated Common Shares	896,539,050

Change from previous submission

None

**Filed on behalf by:**

<b>Name</b>	Sharon Lim
<b>Designation</b>	Corporate Secretary/Compliance Officer

**COL FINANCIAL GROUP, INC.**LIST OF TOP 100 STOCKHOLDERS  
AS OF JUNE 30, 2021

<b>RANK</b>	<b>NAME</b>	<b>TOTAL SHARES</b>	<b>PERCENTAGE (%)</b>
1	PCD NOMINEE CORPORATION	3,863,460,950	81.1651
	Filipino - 2,562,682,910		
	Non-Filipino - <u>1,300,778,040</u>		
2	LEE, EDWARD K.	622,500,000	13.0777
3	YU, ALEXANDER C.	200,000,000	4.2017
4	ANG, VALENTINA L.	50,000,000	1.0504
5	LEE, LYDIA	10,000,000	0.2101
6	TAN, JESSALYNN L.	10,000,000	0.2101
7	LIM, HERNAN GO	1,000,000	0.0210
8	YU, RAYMOND C.	1,000,000	0.0210
9	HAN, PAULWELL	1,000,000	0.0210
10	ONG, CATHERINE L.	500,000	0.0105
11	BARRETTO, SERAFIN JR. P.	120,000	0.0025
12	ESTACION, MANUEL	100,000	0.0021
13	YU, WELLINGTON C. OR YU, VICTORIA O.	100,000	0.0021
14	VILLANUEVA, MYRA P.	60,000	0.0013
15	FILIO, SERNANDO	50,000	0.0011
16	GARA, ROSARIO	50,000	0.0011
17	KHOO BOO BOON	10,000	0.0002
18	HAPI ILOILO CORPORATION	10,000	0.0002
19	LITMAN, JOEL A.	10,000	0.0002
20	GUERZON, MARIA CARMEN	6,680	0.0001
21	VILLANUEVA, MILAGROS P.	5,000	0.0001
22	VILLANUEVA, MYRNA P.	5,000	0.0001
23	PAPIN, JOHN MARCT A.	3,000	0.0001
24	GUERZON, ANTONIO GABRIEL	1,660	0.0000
25	GUERZON, NICOLE THERESE	1,660	0.0000
26	ACOSTA, ROMMEL R.	1,000	0.0000
27	M.J. SORIANO TRADING, INC.	1,000	0.0000
28	GILI, GUILLERMO JR., F.	1,000	0.0000
29	SANVICTORES, JULIUS VICTOR EMMANUEL D.	1,000	0.0000
30	ENDAYA, GLENDA T.	1,000	0.0000
31	BATE, CONRADO F.	990	0.0000
32	AU, OWEN NATHANIEL S. ITF: LI MARCUS AU	50	0.0000
33	HOKUSHIN, KIDO	10	0.0000

4,760,000,000



## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - COL000000000

Business Date: June 30, 2021

BPNAME	HOLDINGS
ABACUS SECURITIES CORPORATION	985,949
PHILSTOCKS FINANCIAL INC	475,040
ALPHA SECURITIES CORP.	40,000
AP SECURITIES INCORPORATED	843,000
ANSALDO, GODINEZ & CO., INC.	612,000
AB CAPITAL SECURITIES, INC.	40,000
SB EQUITIES, INC.	160,000
ASIASEC EQUITIES, INC.	5,000
BELSON SECURITIES, INC.	31,000
JAKA SECURITIES CORP.	5,000
BPI SECURITIES CORPORATION	4,184,612
CAMPOS, LANUZA & COMPANY, INC.	7,000
CTS GLOBAL EQUITY GROUP, INC.	1,756,965,850
TRITON SECURITIES CORP.	55,000
DAVID GO SECURITIES CORP.	1,250,000
DIVERSIFIED SECURITIES, INC.	15,000
E. CHUA CHIACO SECURITIES, INC.	2,076,000
EASTERN SECURITIES DEVELOPMENT CORPORATION	1,095,000
EQUITIWORLD SECURITIES, INC.	17,000
EVERGREEN STOCK BROKERAGE & SEC., INC.	1,050,000
F. YAP SECURITIES, INC.	2,045,000
GLOBALINKS SECURITIES & STOCKS, INC.	300,000
JSG SECURITIES, INC.	506,000
GOLDSTAR SECURITIES, INC.	1,000,000
GUILD SECURITIES, INC.	2,000
HDI SECURITIES, INC.	100,000
I. B. GIMENEZ SECURITIES, INC.	30,000
INVESTORS SECURITIES, INC.	2,000
STRATEGIC EQUITIES CORP.	1,000,000
LOPEZ, LOCSIN, LEDESMA & CO., INC.	170,000
LUYS SECURITIES COMPANY, INC.	147,000
MANDARIN SECURITIES CORPORATION	1,217,000
COL Financial Group, Inc.	1,263,704,116
MERIDIAN SECURITIES, INC.	28,000
MDR SECURITIES, INC.	30,000
MOUNT PEAK SECURITIES, INC.	7,000
NEW WORLD SECURITIES CO., INC.	180,000
OPTIMUM SECURITIES CORPORATION	1,291,000
PAPA SECURITIES CORPORATION	19,000
MAYBANK ATR KIM ENG SECURITIES, INC.	9,385,000
PNB SECURITIES, INC.	513,000
PREMIUM SECURITIES, INC.	200,000
SALISBURY BKT SECURITIES CORPORATION	3,000
QUALITY INVESTMENTS & SECURITIES CORPORATION	414,000
R & L INVESTMENTS, INC.	20,000
R. COYIUTO SECURITIES, INC.	90,000
REGINA CAPITAL DEVELOPMENT CORPORATION	318,000

<b>BPNAME</b>	<b>HOLDINGS</b>
R. NUBLA SECURITIES, INC.	185,000
AAA SOUTHEAST EQUITIES, INCORPORATED	57,000
R. S. LIM & CO., INC.	550,000
RTG & COMPANY, INC.	10,000
S.J. ROXAS & CO., INC.	7,000
SUMMIT SECURITIES, INC.	70,000
STANDARD SECURITIES CORPORATION	9,355,000
TANSENGCO & CO., INC.	109,000
TOWER SECURITIES, INC.	427,000
UCPB SECURITIES, INC.	94,000
UOB KAY HIAN SECURITIES (PHILS.), INC.	438,000
FIRST METRO SECURITIES BROKERAGE CORP.	879,933
WEALTH SECURITIES, INC.	16,426,010
YAO & ZIALCITA, INC.	550,000
YU & COMPANY, INC.	5,507,000
BDO SECURITIES CORPORATION	4,459,360
EAGLE EQUITIES, INC.	823,000
SOLAR SECURITIES, INC.	5,575,000
G.D. TAN & COMPANY, INC.	150,000
UNICAPITAL SECURITIES INC.	10,000
TIMSON SECURITIES, INC.	361,000
CITIBANK N.A.	2,361,000
DEUTSCHE BANK MANILA-CLIENTS A/C	6,000,080
STANDARD CHARTERED BANK	714,240,000
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	42,183,000
<b>TOTAL</b>	<b>3,863,460,950</b>

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## COL Financial Group, Inc. COL

### PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares) *Reference: Section 17.12 of the Revised Disclosure Rules*

#### Type of Securities

Common

For the period ended Sep 30, 2021

#### Description of the Disclosure

List of Top 100 Stockholders

Number of Issued and Outstanding Common Shares	4,760,000,000
Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	4,760,000,000
Number of Listed Common Shares	4,760,000,000
Number of Lodged Common Shares	3,863,460,950
; ;PCD Nominee - Filipino	2,562,711,910
; ;PCD Nominee - Non-Filipino	1,300,749,040
Number of Certificated Common Shares	896,539,050

Change from previous submission

NONE

**Filed on behalf by:**

<b>Name</b>	Sharon Lim
<b>Designation</b>	VP – Head of Legal & Compliance, Corporate Secretary

**COL FINANCIAL GROUP, INC.**

LIST OF TOP 100 STOCKHOLDERS

AS OF SEPTEMBER 30, 2021

<b>RANK</b>	<b>NAME</b>	<b>TOTAL SHARES</b>	<b>PERCENTAGE (%)</b>
1	PCD NOMINEE CORPORATION Filipino - 2,562,711,910 Non-Filipino - <u>1,300,749,040</u>	3,863,460,950	81.1651
2	LEE, EDWARD K.	622,500,000	13.0777
3	YU, ALEXANDER C.	200,000,000	4.2017
4	ANG, VALENTINA L.	50,000,000	1.0504
5	LEE, LYDIA	10,000,000	0.2101
6	TAN, JESSALYNN L.	10,000,000	0.2101
7	LIM, HERNAN GO	1,000,000	0.0210
8	YU, RAYMOND C.	1,000,000	0.0210
9	HAN, PAULWELL	1,000,000	0.0210
10	ONG, CATHERINE L.	500,000	0.0105
11	BARRETTO, SERAFIN JR. P.	120,000	0.0025
12	ESTACION, MANUEL	100,000	0.0021
13	YU, WELLINGTON C. OR YU, VICTORIA O.	100,000	0.0021
14	VILLANUEVA, MYRA P.	60,000	0.0013
15	FILIO, SERNANDO	50,000	0.0011
16	GARA, ROSARIO	50,000	0.0011
17	KHOO BOO BOON	10,000	0.0002
18	HAPI ILOILO CORPORATION	10,000	0.0002
19	LITMAN, JOEL A.	10,000	0.0002
20	GUERZON, MARIA CARMEN	6,680	0.0001
21	VILLANUEVA, MILAGROS P.	5,000	0.0001
22	VILLANUEVA, MYRNA P.	5,000	0.0001
23	PAPIN, JOHN MARCT A.	3,000	0.0001
24	GUERZON, ANTONIO GABRIEL	1,660	0.0000
25	GUERZON, NICOLE THERESE	1,660	0.0000
26	ACOSTA, ROMMEL R.	1,000	0.0000
27	M.J. SORIANO TRADING, INC.	1,000	0.0000
28	GILI, GUILLERMO JR., F.	1,000	0.0000
29	SANVICTORES, JULIUS VICTOR EMMANUEL D.	1,000	0.0000
30	ENDAYA, GLENDA T.	1,000	0.0000
31	BATE, CONRADO F.	999	0.0000
32	AU, OWEN NATHANIEL S. ITF: LI MARCUS AU	50	0.0000
33	OKITA, SEIJI	1	0.0000
		4,760,000,000	

**OUTSTANDING BALANCES FOR A SPECIFIC COMPANY**

Company Code - COL000000000

Business Date: September 30, 2021

<b>BPNAME</b>	<b>HOLDINGS</b>
ABACUS SECURITIES CORPORATION	968,949
PHILSTOCKS FINANCIAL INC	405,040
ALPHA SECURITIES CORP.	52,000
AP SECURITIES INCORPORATED	849,000
ANSALDO, GODINEZ & CO., INC.	612,000
AB CAPITAL SECURITIES, INC.	87,000
SB EQUITIES,INC.	160,000
ASIASEC EQUITIES, INC.	5,000
BELSON SECURITIES, INC.	31,000
JAKA SECURITIES CORP.	5,000
BPI SECURITIES CORPORATION	4,310,612
CAMPOS, LANUZA & COMPANY, INC.	7,000
CTS GLOBAL EQUITY GROUP, INC.	1,759,171,850
TRITON SECURITIES CORP.	55,000
IGC SECURITIES INC.	16,000
DAVID GO SECURITIES CORP.	1,250,000
DIVERSIFIED SECURITIES, INC.	15,000
E. CHUA CHIACO SECURITIES, INC.	2,076,000
EASTERN SECURITIES DEVELOPMENT CORPORATION	1,173,000
EQUITIWORLD SECURITIES, INC.	17,000
EVERGREEN STOCK BROKERAGE & SEC., INC.	1,050,000
F. YAP SECURITIES, INC.	2,015,000
GLOBALINKS SECURITIES & STOCKS, INC.	300,000
JSG SECURITIES, INC.	506,000
GOLDSTAR SECURITIES, INC.	1,000,000
GUILD SECURITIES, INC.	2,000
HDI SECURITIES, INC.	100,000
I. B. GIMENEZ SECURITIES, INC.	30,000
INVESTORS SECURITIES, INC,	2,000
STRATEGIC EQUITIES CORP.	1,000,000
LOPEZ, LOCSIN, LEDESMA & CO., INC.	170,000
LUYS SECURITIES COMPANY, INC.	147,000
MANDARIN SECURITIES CORPORATION	1,217,000
COL Financial Group, Inc.	1,262,098,116
MERIDIAN SECURITIES, INC.	28,000
MDR SECURITIES, INC.	30,000
MOUNT PEAK SECURITIES, INC.	57,000
NEW WORLD SECURITIES CO., INC.	180,000
OPTIMUM SECURITIES CORPORATION	1,291,000
PAPA SECURITIES CORPORATION	12,000
MAYBANK ATR KIM ENG SECURITIES, INC.	9,385,000
PNB SECURITIES, INC.	504,000
PREMIUM SECURITIES, INC.	200,000
SALISBURY BKT SECURITIES CORPORATION	3,000
QUALITY INVESTMENTS & SECURITIES CORPORATION	356,000
R & L INVESTMENTS, INC.	20,000
R. COYIUTO SECURITIES, INC.	70,000
REGINA CAPITAL DEVELOPMENT CORPORATION	313,000
R. NUBLA SECURITIES, INC.	185,000
AAA SOUTHEAST EQUITIES, INCORPORATED	34,000
R. S. LIM & CO., INC.	550,000
RTG & COMPANY, INC.	10,000
S.J. ROXAS & CO., INC.	5,000
SUMMIT SECURITIES, INC.	70,000
STANDARD SECURITIES CORPORATION	9,355,000
TANSENGCO & CO., INC.	105,000
TOWER SECURITIES, INC.	421,000
UCPB SECURITIES, INC.	84,000
UOB KAY HIAN SECURITIES (PHILS.), INC.	438,000

<b>BPNAME</b>	<b>HOLDINGS</b>
FIRST METRO SECURITIES BROKERAGE CORP.	812,933
WEALTH SECURITIES, INC.	16,396,010
YAO & ZIALCITA, INC.	550,000
YU & COMPANY, INC.	5,500,000
BDO SECURITIES CORPORATION	4,251,360
EAGLE EQUITIES, INC.	823,000
SOLAR SECURITIES, INC.	5,575,000
G.D. TAN & COMPANY, INC.	150,000
UNICAPITAL SECURITIES INC.	8,000
TIMSON SECURITIES, INC.	1,000
CITIBANK N.A.	2,361,000
DEUTSCHE BANK MANILA-CLIENTS A/C	6,000,080
STANDARD CHARTERED BANK	714,240,000
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	42,183,000
<b>TOTAL</b>	<b>3,863,460,950</b>

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## COL Financial Group, Inc. COL

### PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares) *Reference: Section 17.12 of the Revised Disclosure Rules*

#### Type of Securities

Common

For the period ended Dec 31, 2021

#### Description of the Disclosure

List of Top 100 Stockholders

Number of Issued and Outstanding Common Shares	4,760,000,000
Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	4,760,000,000
Number of Listed Common Shares	4,760,000,000
Number of Lodged Common Shares	3,863,460,950
; ;PCD Nominee - Filipino	2,562,665,910
; ;PCD Nominee - Non-Filipino	1,300,795,040
Number of Certificated Common Shares	896,539,050

Change from previous submission



NONE

**Filed on behalf by:**

<b>Name</b>	Stephanie Faye Reyes
<b>Designation</b>	Assistant Corporate Secretary

**COL FINANCIAL GROUP, INC.**

## LIST OF TOP 100 STOCKHOLDERS

AS OF DECEMBER 31, 2021

<b>RANK</b>	<b>NAME</b>	<b>TOTAL SHARES</b>	<b>PERCENTAGE (%)</b>
1	PCD NOMINEE CORPORATION Filipino - 2,562,665,910 Non-Filipino - <u>1,300,795,040</u>	3,863,460,950	81.1651
2	LEE, EDWARD K.	622,500,000	13.0777
3	YU, ALEXANDER C.	200,000,000	4.2017
4	ANG, VALENTINA L.	50,000,000	1.0504
5	LEE, LYDIA	10,000,000	0.2101
6	TAN, JESSALYNN L.	10,000,000	0.2101
7	LIM, HERNAN GO	1,000,000	0.0210
8	YU, RAYMOND C.	1,000,000	0.0210
9	HAN, PAULWELL	1,000,000	0.0210
10	ONG, CATHERINE L.	500,000	0.0105
11	BARRETTO, SERAFIN JR. P.	120,000	0.0025
12	ESTACION, MANUEL	100,000	0.0021
13	YU, WELLINGTON C. OR YU, VICTORIA O.	100,000	0.0021
14	VILLANUEVA, MYRA P.	60,000	0.0013
15	FILIO, SERNANDO	50,000	0.0011
16	GARA, ROSARIO	50,000	0.0011
17	KHOO BOO BOON	10,000	0.0002
18	HAPI ILOILO CORPORATION	10,000	0.0002
19	LITMAN, JOEL A.	10,000	0.0002
20	GUERZON, MARIA CARMEN	6,680	0.0001
21	VILLANUEVA, MILAGROS P.	5,000	0.0001
22	VILLANUEVA, MYRNA P.	5,000	0.0001
23	PAPIN, JOHN MARCT A.	3,000	0.0001
24	GUERZON, ANTONIO GABRIEL	1,660	0.0000
25	GUERZON, NICOLE THERESE	1,660	0.0000
26	ACOSTA, ROMMEL R.	1,000	0.0000
27	M.J. SORIANO TRADING, INC.	1,000	0.0000
28	GILI, GUILLERMO JR., F.	1,000	0.0000
29	SANVICTORES, JULIUS VICTOR EMMANUEL D.	1,000	0.0000
30	ENDAYA, GLENDA T.	1,000	0.0000
31	BATE, CONRADO F.	999	0.0000
32	AU, OWEN NATHANIEL S. ITF: LI MARCUS AU	50	0.0000
33	OKITA, SEIJI	1	0.0000
		4,760,000,000	

**OUTSTANDING BALANCES FOR A SPECIFIC COMPANY**

Company Code - COL000000000

Business Date: December 31, 2021

<b>BPNAME</b>	<b>HOLDINGS</b>
ABACUS SECURITIES CORPORATION	970,250
PHILSTOCKS FINANCIAL INC	389,470
ALPHA SECURITIES CORP.	66,000
AP SECURITIES INCORPORATED	846,000
ANSALDO, GODINEZ & CO., INC.	512,000
AB CAPITAL SECURITIES, INC.	218,000
SB EQUITIES, INC.	160,000
ASIASEC EQUITIES, INC.	5,000
BELSON SECURITIES, INC.	397,000
JAKA SECURITIES CORP.	5,000
BPI SECURITIES CORPORATION	4,633,612
CAMPOS, LANUZA & COMPANY, INC.	7,000
CTS GLOBAL EQUITY GROUP, INC.	1,759,950,850
TRITON SECURITIES CORP.	55,000
IGC SECURITIES INC.	16,000
DAVID GO SECURITIES CORP.	1,250,000
DIVERSIFIED SECURITIES, INC.	15,000
E. CHUA CHIACO SECURITIES, INC.	2,076,000
EASTERN SECURITIES DEVELOPMENT CORPORATION	1,140,000
EQUITIWORLD SECURITIES, INC.	17,000
EVERGREEN STOCK BROKERAGE & SEC., INC.	1,050,000
F. YAP SECURITIES, INC.	2,016,000
GLOBALINKS SECURITIES & STOCKS, INC.	300,000
JSG SECURITIES, INC.	506,000
GOLDSTAR SECURITIES, INC.	1,000,000
GUILD SECURITIES, INC.	2,000
HDI SECURITIES, INC.	100,000
I. B. GIMENEZ SECURITIES, INC.	30,000
STRATEGIC EQUITIES CORP.	1,000,000
LOPEZ, LOCSIN, LEDESMA & CO., INC.	170,000
LUYS SECURITIES COMPANY, INC.	147,000
MANDARIN SECURITIES CORPORATION	1,217,000
COL Financial Group, Inc.	1,260,578,085
MERIDIAN SECURITIES, INC.	28,000
MDR SECURITIES, INC.	30,000
MOUNT PEAK SECURITIES, INC.	57,000
NEW WORLD SECURITIES CO., INC.	180,000
OPTIMUM SECURITIES CORPORATION	1,291,000
PAPA SECURITIES CORPORATION	12,000
MAYBANK ATR KIM ENG SECURITIES, INC.	9,383,000
PNB SECURITIES, INC.	504,000
PREMIUM SECURITIES, INC.	200,000
SALISBURY BKT SECURITIES CORPORATION	3,000
QUALITY INVESTMENTS & SECURITIES CORPORATION	356,000
R & L INVESTMENTS, INC.	20,000
R. COYIUTO SECURITIES, INC.	70,000
REGINA CAPITAL DEVELOPMENT CORPORATION	313,000
R. NUBLA SECURITIES, INC.	185,000
AAA SOUTHEAST EQUITIES, INCORPORATED	28,000
R. S. LIM & CO., INC.	550,000
RTG & COMPANY, INC.	10,000
S.J. ROXAS & CO., INC.	5,000
SUMMIT SECURITIES, INC.	70,000

<b>BPNAME</b>	<b>HOLDINGS</b>
STANDARD SECURITIES CORPORATION	9,355,000
TANSENGCO & CO., INC.	105,000
TOWER SECURITIES, INC.	421,000
UCPB SECURITIES, INC.	84,000
UOB KAY HIAN SECURITIES (PHILS.), INC.	438,000
FIRST METRO SECURITIES BROKERAGE CORP.	884,933
WEALTH SECURITIES, INC.	16,396,010
YAO & ZIALCITA, INC.	550,000
YU & COMPANY, INC.	5,500,000
BDO SECURITIES CORPORATION	4,241,660
EAGLE EQUITIES, INC.	823,000
SOLAR SECURITIES, INC.	5,575,000
G.D. TAN & COMPANY, INC.	150,000
UNICAPITAL SECURITIES INC.	12,000
TIMSON SECURITIES, INC.	1,000
CITIBANK N.A.	2,361,000
DEUTSCHE BANK MANILA-CLIENTS A/C	6,000,080
STANDARD CHARTERED BANK	714,240,000
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	42,183,000
<b>TOTAL</b>	<b>3,863,460,950</b>

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.